

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

**RICHARD GOODMAN, individually  
and as trustee of the Richard M.  
Goodman revocable living trust, and  
on behalf of all others similarly  
situated,**

**Plaintiff,**

**v.**

**UBS FINANCIAL SERVICES, INC.,**

**Defendants.**

Civ. No. 21-18123 (KM) (MAH)

**ORDER**

**THIS MATTER** having come before the Court on the motion of Defendant UBS Financial Services, Inc.'s motion to dismiss the complaint (DE 13); and the Court having considered the submissions of the parties (DE 13, 16, 21) without oral argument pursuant to Fed. R. Civ. P. 78(b); for the reasons stated in the accompanying Opinion, and for good cause shown;

**IT IS** this 30<sup>th</sup> day of June 2022,

**ORDERED** that Defendant's motion to dismiss the complaint (DE 13) is **GRANTED in part and DENIED in part**. Specifically, the motion is **GRANTED** with regard to Counts 3, 4, 6, and 7 and otherwise **DENIED**.

/s/ Kevin McNulty

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**Kevin McNulty**  
**United States District Judge**